National Bank of Ukraine

for top-managers, chief accountants (candidates for these positions), key persons of providers of financial services, actuaries in the field of insurance

List of laws, regulations, and documents that serve as the basis for questions used in testing questionnaires

No.	Source	Head of Insurer (except Chief Accountant)	Head of Credit Union (except Chief Accountant)	Head of Payment Institution	Head of Electronic Money Institution	Head of Postal Operator, which has the right to provide financial payment services	Chief Accountant of Insurer	Chief Accountant of Credit Union	Chief Internal Auditor of Insurer	Chief Compliance Officer (CCO) of Insurer	Chief Risk Officer (CRO) of Insurer	Chief Internal Auditor of Credit Union	Chief Compliance Officer (CCO) of Credit Union	Chief Risk Officer (CRO) of Credit Union	Heads of Other Providers of Financial Services (separate documents shall be selected taking into account the type of provider of financial services)	Chief Accountant of Other Providers of Financial Services	Internal Auditor of Providers of Financial Services (separate documents shall be selected taking into account the type of provider of financial services)	Chief Compliance Officer (CCO) of Providers of Financial Services (separate documents shall be selected taking into account the type of provider of financial services)	Chief Risk Officer (CRO) of Providers of Financial Services (separate documents shall be selected taking into account the type of provider of financial services)	Actuary in the field of insurance
1	Tax Code of Ukraine						+	+								+				
2	Law of Ukraine On Joint Stock Companies / Law of Ukraine On Limited and Additional Liability Companies	+																		
3	Law of Ukraine On Credit Unions		+									+	+	+	+			+	+	
4	Law of Ukraine On Financial Services and Financial Companies	+	+	+	+	+			+	+	+	+	+	+	+		+	+	+	
5	Law of Ukraine On Insurance	+							+	+							+	+		+
6	Law of Ukraine On Prevention and Counteraction to Legalizing (Laundering) the Proceeds from Crime, Terrorism Financing, and Financing the Proliferation of Weapons of Mass Destruction	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	
7	Law of Ukraine On Accounting and Financial Reporting in Ukraine						+	+								+				
8	Law On Audit of Financial Statements and Audit Activity									+			+					+		
9	Law of Ukraine On Collateral									+			+					+		
10	Law of Ukraine On Consumer Lending									+	+		+	+	+			+	+	
11	Civil Code of Ukraine														+					
12	Law of Ukraine On Financial Leasing									+	+		+	+	+			+	+	
13	Law of Ukraine On Payment Services			+	+	+														
14	Regulation on Procedure for Authorizing the Activities of ~~Providers of~~ Financial Payment Services Providers and Limited Payment Services Providers, approved by NBU Board Resolution No. 217 dated 07 October 2022			+	+	+														
15	Regulation on Electronic Money Issuance and Payment Transactions with it, approved by NBU Board Resolution No. 210 dated 29 September 2022				+															
16	Regulation on Involvement of Commercial Agents for the Provision of Financial Payment Services, approved by NBU Board Resolution No. 168 dated 02 August 2022			+																
17	Regulations on Authorization of Financial Service Providers and the Conditions for their Activities in the Provision of Financial Services, approved by NBU Board Resolution No. 199 dated 29 December 2023	+	+	+	+	+			+	+	+	+	+	+	+	+	+	+	+	
18	Regulation on Requirements for the Ownership Structure of Providers of Financial Services approved by NBU Board Resolution No. 30 dated 14 April 2021	+													+					
19	Regulation on Procedure for Disclosing Information by Non-Banking Financial Institutions approved by NBU Board Resolution No. 114 dated 05 November 2021								+			+			+		+			
20	Rules for Drawing Up and Submitting Reports by Non-Banking Financial Services Market Participants to the National Bank of Ukraine approved by NBU Board Resolution No. 123 dated 25 November 2021	+																		
21	Regulation on Reinsurance with a Non-Resident Insurer (Reinsurer) approved by NBU Board Resolution No. 107 dated 20 October 2021	+																		
22	Regulation on Implementation of Agricultural Products Insurance Activities with State Support approved by NBU Board Resolution No. 108 dated 20 October 2021	+																		+
23	Regulation on Organization, Conduct and Registration of Results of Inspection Checks of Non-Banking Financial Services Markets Participants approved by NBU Board Resolution No. 22 dated 26 February 2021	+	+												+					
24	Procedure for Submitting Financial Statements approved by Resolution of the Cabinet of Ministers of Ukraine No. 419 dated 28 February 2000									+			+					+		
25	Regulation on Implementation of Financial Monitoring by Institutions approved by NBU Board Resolution No. 107 dated 28 July 2020	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	
26	Rules for Organization of Statistical Reporting Submitted to the National Bank of Ukraine approved by NBU Board Resolution No. 120 dated 13 November 2018	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	
27	Conceptual Framework for Financial Reporting						+								+	+				
28	IFRS 7 Financial Instruments: Disclosures															+				
29	IAS 34 Interim Financial Reporting															+				
30	IAS 10 Events After The Reporting Period						+									+				
31	IFRS 9 Financial Instruments						+	+								+				
32	IAS 32 Financial Instruments: Presentation															+				
33	IAS 16 Property, Plant and Equipment						+	+								+				
34	IFRS 5 Non-current Assets Held for Sale and Discontinued Operations						+	+								+				
35	IAS 1 Presentation of Financial Statements						+	+												
36	IAS 8 Accounting Policies, Changes in Accounting Estimates and Errors							+												
37	IAS 21 The Effects of Changes in Foreign Exchange Rates						+	+												
38	IAS 16 Property, Plant and Equipment						+	+												
39	IAS 37 Provisions, Contingent Liabilities and Contingent Assets						+													
40	IAS 36 Impairment of Assets						+													
41	Regulation on Authorization of Persons who have the Right to Carry out Actuarial Activities in the Field of Insurance and Persons who Perform the Duties of a Responsible Actuary, approved by NBU Board Resolution No. 187 dated 25 December 2023																			+
42	Regulation on Procedure for Supervision of Non-Banking Financial Groups on a Consolidated Basis, approved by NBU Board Resolution No. 202 dated 29 December 2023	+	+						+	+	+	+	+	+	+		+	+	+	
43	Regulation on Implementation of On-Site Supervision of the Activity of Providing Financial and Accompanying Services, approved by NBU Board Resolution No. 162 dated 14 December 2023	+	+						+	+	+	+	+	+	+		+	+	+	
44	Regulation on Application of Influence Measures, Early Intervention Measures, and Corrective Measures on the Markets of Non-Banking Financial Services, approved by NBU Board Resolution No. 183 dated 25 December 2023	+	+						+	+	+	+	+	+	+		+	+	+	+
45	Regulation on Requirements for the Management System of Insurers, approved by NBU Board Resolution No. 194 dated 27 December 2023	+							+	+	+									